

# The evolution of stereopsis and the Wulst in caprimulgiform birds: a comparative analysis

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**Abstract** Owls possess stereopsis (i.e., the ability to perceive depth from retinal disparity cues), but its distribution amongst other birds has remained largely unexplored. Here, we present data on species variation in brain and telencephalon size and features of the Wulst, the neuroanatomical substrate that subserves stereopsis, in a putative sister-group to owls, the order Caprimulgiformes. The caprimulgiforms we examined included nightjars (Caprimulgidae), owlet-nightjars (Aegothelidae), potoos (Nyctibiidae), frogmouths (Podargidae) and the Oilbird (Steatornithidae). The owlet-nightjars and frogmouths shared almost identical relative brain, telencephalic and Wulst volumes as well as overall brain morphology and Wulst morphology with owls. Specifically, the owls, frogmouths and owlet-nightjars possess relatively large brains and telencephalic and Wulst volumes, had a characteristic brain shape and displayed prominent laminae in the Wulst. In contrast, potoos and nightjars both had relatively small brains and telencephala, and Wulst volumes that are typical for similarly sized birds from other orders. The Oilbird had a large brain, telencephalon and Wulst, although these measures were not quite as large as those of the owls. This gradation of owl-like versus nightjar-like brains within caprimulgiforms has significant implications for understanding the evolution of

stereopsis and the Wulst both within the order and birds in general.

**Keywords** Wulst · Caprimulgiformes · Evolution · Stereopsis · Strigiformes

## Introduction

A series of neurophysiological, neuroanatomical and behavioral experiments has demonstrated that owls possess a visual system that is very similar to primates (van der Willigen et al. 1998) and cats insofar as it is designed to subserve stereopsis. At least two features of the owl visual system sets them apart from most other birds. First, owl eyes are positioned frontally, such that they have a large area of binocular visual field overlap [44 to >50° horizontally; Barn Owl (*Tyto alba*), Pettigrew and Konishi 1984; Tawny Owl (*Strix aluco*), Martin 1984; Northern Saw-whet Owl (*Aegolius acadicus*), Wylie et al. 1994]. Although all birds have some degree of binocular overlap (Martin and Katzir 1999), the magnitude pales in comparison to that of owls (Martin and Coetzee 2004). Second, compared to other species, owls have a grossly hypertrophied visual Wulst (Stingelin 1958; Karten et al. 1973; Pettigrew 1979; Iwaniuk and Hurd 2005), the putative homolog of mammalian primary visual cortex (V1) (e.g., Shimizu and Karten 1993; Medina and Reiner 2000; Reiner et al. 2005). Recordings from the owl Wulst reveal that it is functionally like V1; Wulst neurons are selective for orientation, movement direction, spatial frequency and binocular disparity (Pettigrew and Konishi 1976; Pettigrew 1979; Porciatti et al. 1990; Wagner and Frost 1993; Nieder and Wagner 2000, 2001). Critically, the

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vast majority (86%) of neurons are binocular and tuned to a particular disparity (Pettigrew 1979). Binocular neurons are present in the Wulst of other species, but they are not as numerous as they are in owls (e.g., Perisic et al. 1971; Pettigrew 1978; Wilson 1980; Denton 1981). Although the role of the Wulst in stereopsis has yet to be definitively proven, the available neural evidence from owls suggests that the Wulst mediates stereopsis.

While it is clear that the owls have evolved global stereopsis (i.e., depth perception throughout all or most of the visual field) independently from mammals (Pettigrew 1986), its evolution and phylogenetic distribution within birds has not been investigated. Owls are considered by some to be closely related to the order Caprimulgiformes (Sibley and Ahlquist 1990; Livezey and Zusi 2001), which is a diverse assemblage of nocturnal birds. Three families within the Caprimulgiformes possess frontal eye position comparable to owls: frogmouths (Podargidae; binocular overlap = 50° horizontally; Wallman and Pettigrew 1985), owl-nightjars (Aegothelidae) and Oilbird (*Steatornis caripensis*, Steatornithidae; binocular overlap = 38–50°, Pettigrew and Konishi 1984; Martin et al. 2004a, b). Nightjars (Caprimulgidae), on the other hand, have a narrower binocular visual field (25°; Martin et al. 2004b). Lastly, nothing is known about the visual field or abilities of the fifth family: the potoos (Nyctibiidae).

Stereopsis is thought to be present in both owl-nightjars and frogmouths (Pettigrew 1986) because of their frontal eye position and an area of binocular visual overlap comparable to that of owls (Wallman and Pettigrew 1985; Pettigrew 1986). However, there are no behavioral studies that demonstrate stereopsis and no reports of electrophysiological investigations of the Wulst for either family. With respect to the Oilbird, electrophysiological investigations failed to find any binocular neurons in the Wulst (Pettigrew and Konishi 1984), despite the presence of a broad area of binocular visual field overlap (Pettigrew and Konishi 1984; Martin et al. 2004a, b). Finally, Pettigrew (1986) reported that there was no evidence of binocular neurons in the Wulst of nightjars based on both electrophysiological and anatomical investigations, but details are lacking.

Given that both the owls and frogmouths possess a grossly enlarged Wulst (Pettigrew 1986; Iwaniuk and Hurd 2005) and owls, and possibly frogmouths, possess stereopsis (Pettigrew 1986), it is conceivable that Wulst enlargement underlies functional global stereopsis. Currently, little is known about how the relative size of the Wulst varies among birds and this is especially true of caprimulgiforms. Stingelin (1958)

documented a large amount of variation in Wulst size and structure in birds, but did not provide any detailed volumetric data for the Wulst or its constituent regions and only included a single caprimulgiform (*Caprimulgus europaeus*). If claims of stereopsis in the owl-nightjar and frogmouth are correct (Pettigrew 1986), then we would expect to see an enlargement of the Wulst in both families such that they are similar to owls. Similarly, the relative size of the Wulst could indicate whether potoos have stereoscopic vision or not. Enlargement of the Wulst can also result in an increase in overall brain and telencephalic volumes (Iwaniuk et al. 2005; Iwaniuk and Hurd 2005) and changes in Wulst morphology (Stingelin 1958). We therefore surveyed the five currently recognized caprimulgiform families and examined the following features: brain size and morphology, telencephalic size and Wulst size and morphology.

## Methods

### Specimens

Brain volumes were measured from fixed brains as well as endocranial volumes (Iwaniuk and Nelson 2002) of skeletal specimens (Table 1). These data included measurements from over 200 individuals representing 18 caprimulgiform and 40 owl (Strigiformes) species. Fixed brains of a Spotted Nightjar (*Eurostopodus argus*), Barn Owl (*Tyto alba*) Boobook Owls (*Ninox boobook*,  $n = 2$ ) and Tawny Frogmouths (*Podargus strigoides*,  $n = 3$ ) were extracted from carcasses obtained as roadkills and from Healesville Sanctuary (Healesville, VIC, Australia). Grey Potoo (*Nyctibius griseus*), Oilbird, Pauraque (*Nyctidromus albicollis*) and Feline Owllet-nightjar (*Aegothales insignis*) specimens were loaned to us from the National Museum of Natural History (Washington, DC, USA) and the Bishop Museum (Honolulu, HI, USA) and a Northern Saw-whet Owl was donated by B.J. Frost (see Table 2). The brains of all of the museum specimens, which were all stored in 70% ethanol for up to 45 years, were extracted and placed into buffered 4% paraformaldehyde. They were subsequently placed into 30% sucrose in 0.1 M phosphate buffered saline until they sank. The brains were then embedded in gelatin and serially sectioned in the transverse plane on a freezing stage microtome at 40  $\mu\text{m}$ . The sections were collected in 0.1 M phosphate buffered saline, mounted onto gelatinized slides, stained for Nissl substance with thionin and coverslipped with Permount.

**Table 1** A list of the caprimulgiform and owl species measured, sample sizes and means of brain volume (mm<sup>3</sup>) and body mass (g)

| Order                    | Family                         | Species                     | <i>n</i>                         | Brain volume (mm <sup>3</sup> ) | Body mass (g)                |                          |       |       |
|--------------------------|--------------------------------|-----------------------------|----------------------------------|---------------------------------|------------------------------|--------------------------|-------|-------|
| Caprimulgiformes         | Aegothelidae                   | Australian owl-nightjar     | <i>Aegotheles cristatus</i>      | 8                               | 1,420                        | 41.0                     |       |       |
|                          |                                | Chuck-will's-widow          | <i>Caprimulgus carolinensis</i>  | 9                               | 1,360                        | 109.5                    |       |       |
|                          | Caprimulgidae                  | European nightjar           | <i>Caprimulgus europaeus</i>     | 10                              | 880                          | 67.0                     |       |       |
|                          |                                | Large-tailed nightjar       | <i>Caprimulgus macrurus</i>      | 9                               | 1,010                        | 78.0                     |       |       |
|                          |                                | Whip-poor-will              | <i>Caprimulgus vociferus</i>     | 10                              | 820                          | 50.8                     |       |       |
|                          |                                | Common nighthawk            | <i>Chordeiles minor</i>          | 10                              | 850                          | 57.1                     |       |       |
|                          |                                | Spotted nightjar            | <i>Eurostopodus argus</i>        | 2                               | 1,240                        | 72.0                     |       |       |
|                          |                                | Great eared-nightjar        | <i>Eurostopodus macrotis</i>     | 5                               | 1,460                        | 168.8                    |       |       |
|                          |                                | White-throated nightjar     | <i>Eurostopodus mystacialis</i>  | 2                               | 1,330                        | 170.0                    |       |       |
|                          |                                | Pennant-winged nightjar     | <i>Macrodipteryx vexillarius</i> | 6                               | 870                          | 66.1                     |       |       |
|                          |                                | Pauraque                    | <i>Nyctidromus albicollis</i>    | 8                               | 910                          | 56.6                     |       |       |
|                          |                                | Ocellated poorwill          | <i>Nyctiphrynus ocellatus</i>    | 3                               | 740                          | 39.0                     |       |       |
|                          |                                | Band-tailed nighthawk       | <i>Nyctiprogne leucopyga</i>     | 4                               | 510                          | 23.0                     |       |       |
|                          |                                | Common poorwill             | <i>Phalaenoptilus nuttallii</i>  | 2                               | 530                          | 51.6                     |       |       |
|                          |                                | Nacunda nighthawk           | <i>Podager nacunda</i>           | 5                               | 1,290                        | 213.3                    |       |       |
|                          |                                | Nyctibiidae                 | Grey potoo                       | <i>Nyctibius griseus</i>        | 5                            | 1,980                    | 257.4 |       |
|                          |                                |                             | Podargidae                       | Tawny frogmouth                 | <i>Podargus strigoides</i>   | 15                       | 4,860 | 387.3 |
|                          |                                | Steatornithidae             |                                  | Oilbird                         | <i>Steatornis caripensis</i> | 1                        | 3,900 | 414.0 |
|                          |                                |                             | Strigiformes                     | Strigidae                       | Saw-whet owl                 | <i>Aegolius acadicus</i> | 9     | 3,360 |
|                          |                                | Boreal owl                  |                                  |                                 | <i>Aegolius funereus</i>     | 6                        | 4,020 | 91.2  |
| African marsh owl        | <i>Asio capensis</i>           | 5                           |                                  |                                 | 5,980                        | 310.0                    |       |       |
| Short-eared owl          | <i>Asio flammeus</i>           | 26                          |                                  |                                 | 5,300                        | 309.8                    |       |       |
| Long-eared owl           | <i>Asio otus</i>               | 12                          |                                  |                                 | 5,310                        | 214.7                    |       |       |
| Burrowing owl            | <i>Athene cunicularia</i>      | 10                          |                                  |                                 | 3,780                        | 152.8                    |       |       |
| Little owl               | <i>Athene noctua</i>           | 5                           |                                  |                                 | 3,700                        | 164                      |       |       |
| Spotted eagle owl        | <i>Bubo africanus</i>          | 4                           |                                  |                                 | 8,600                        | 635.0                    |       |       |
| Eurasian eagle owl       | <i>Bubo bubo</i>               | 5                           |                                  |                                 | 17,090                       | 2,686.0                  |       |       |
| Great horned owl         | <i>Bubo virginianus</i>        | 40                          |                                  |                                 | 14,730                       | 1,415.8                  |       |       |
| Ferruginous pygmy owl    | <i>Glaucidium brasilianum</i>  | 5                           |                                  |                                 | 2,510                        | 68.3                     |       |       |
| Mountain pygmy owl       | <i>Glaucidium gnoma</i>        | 1                           |                                  |                                 | 3,600                        | 61.9                     |       |       |
| Eurasian pygmy owl       | <i>Glaucidium passerinum</i>   | 6                           |                                  |                                 | 2,590                        | 58.5                     |       |       |
| Buffy fish-owl           | <i>Ketupa ketupu</i>           | 1                           |                                  |                                 | 12,750                       | 770.0                    |       |       |
| Elf owl                  | <i>Micrathene whitneyi</i>     | 1                           |                                  |                                 | 1,400                        | 35.0                     |       |       |
| Barking owl              | <i>Ninox connivens</i>         | 1                           |                                  |                                 | 6,400                        | 700.0                    |       |       |
| Boobook owl              | <i>Ninox boobook</i>           | 13                          |                                  |                                 | 5,530                        | 231.4                    |       |       |
| Bismark hawk owl         | <i>Ninox solomonis</i>         | 3                           |                                  |                                 | 4,380                        | 130.0                    |       |       |
| Moluccan hawk owl        | <i>Ninox squamipila</i>        | 1                           |                                  |                                 | 4,400                        | 175.7                    |       |       |
| Powerful owl             | <i>Ninox strenua</i>           | 3                           |                                  |                                 | 11,440                       | 1,359.9                  |       |       |
| Snowy owl                | <i>Nyctea scandiaca</i>        | 8                           |                                  |                                 | 15,870                       | 1,894.0                  |       |       |
| Eastern screech owl      | <i>Otus asio</i>               | 9                           |                                  |                                 | 4,910                        | 180.5                    |       |       |
| Indian scops owl         | <i>Otus bakkamoena</i>         | 5                           |                                  |                                 | 4,040                        | 139.1                    |       |       |
| Tropical screech owl     | <i>Otus choliba</i>            | 3                           |                                  |                                 | 3,410                        | 121.5                    |       |       |
| Moluccan scops owl       | <i>Otus magicus</i>            | 3                           |                                  |                                 | 3,760                        | 165.0                    |       |       |
| Puerto Rican screech owl | <i>Otus nudipes</i>            | 5                           |                                  |                                 | 3,710                        | 142.5                    |       |       |
| Scops owl                | <i>Otus scops</i>              | 10                          |                                  |                                 | 2,490                        | 77.1                     |       |       |
| Spectacled owl           | <i>Pulsatrix perspicillata</i> | 1                           |                                  |                                 | 10,600                       | 873.0                    |       |       |
| Tawny owl                | <i>Strix aluco</i>             | 5                           |                                  |                                 | 9,080                        | 426.0                    |       |       |
| Grey owl                 | <i>Strix nebulosa</i>          | 12                          |                                  |                                 | 14,660                       | 1,056.1                  |       |       |
| Black and white owl      | <i>Strix nigrolineata</i>      | 5                           |                                  |                                 | 7,400                        | 527.5                    |       |       |
| Ural owl                 | <i>Strix uralensis</i>         | 5                           |                                  |                                 | 11,210                       | 784.5                    |       |       |
| Barred owl               | <i>Strix varia</i>             | 10                          |                                  |                                 | 12,550                       | 700.0                    |       |       |
| Mottled owl              | <i>Strix virgata</i>           | 4                           |                                  |                                 | 6,100                        | 229.5                    |       |       |
| Northern hawk-owl        | <i>Surnia ulula</i>            | 5                           |                                  |                                 | 7,480                        | 286.4                    |       |       |
| Tytonidae                | Barn owl                       | <i>Tyto alba</i>            |                                  |                                 | 11                           | 6,510                    | 354.7 |       |
|                          | African grass owl              | <i>Tyto capensis</i>        |                                  |                                 | 3                            | 5,230                    | 419.0 |       |
|                          | Eastern grass owl              | <i>Tyto longimembris</i>    |                                  |                                 | 1                            | 5,250                    | 478.0 |       |
|                          | Australian masked owl          | <i>Tyto novaehollandiae</i> | 9                                | 8,470                           | 766.6                        |                          |       |       |
|                          | Greater sooty owl              | <i>Tyto tenebricosa</i>     | 3                                | 12,700                          | 671.5                        |                          |       |       |

**Table 2** A list of the caprimulgiform and owl families surveyed for stereopsis and their body masses (g), brain volumes (mm<sup>3</sup>), telencephalic volumes (mm<sup>3</sup>) and Wulst volumes (mm<sup>3</sup>)

| Order            | Family          | Species                       | n | Body mass (g) | Brain volume (mm <sup>3</sup> ) | Telencephalon volume (mm <sup>3</sup> ) | Wulst volume (mm <sup>3</sup> ) | Wulst/Brain volume | Wulst/Telencephalon volume | Source  |
|------------------|-----------------|-------------------------------|---|---------------|---------------------------------|---|---------------------------------|--------------------|----------------------------|---|
| Caprimulgiformes | Aegotheleidae   | <i>Aegotheles insignis</i>    | 1 | –             | 1,540                           | 1,107.99                                | 363.63                          | 0.2361             | 0.3282                     | This study (BBM NG101365)                     |
|                  | Caprimulgidae   | <i>Caprimulgus sp.</i>        | 1 | 53            | 734                             | 342.75                                  | 51.62                           | 0.0703             | 0.1506                     | Boire (1989)                                  |
|                  |                 | <i>Eurostodopus argus</i>     | 1 | 72.0          | 982                             | 426.73                                  | 59.30                           | 0.0604             | 0.1390                     | This study                                    |
|                  |                 | <i>Nyctidromus albicollis</i> | 1 | 56.6          | 910                             | 414.83                                  | 66.03                           | 0.0726             | 0.1592                     | This study (USNM504211)                       |
|                  | Nyctibiidae     | <i>Nyctibius griseus</i>      | 1 | 257.4         | 1,980                           | 1,004.67                                | 176.67                          | 0.0892             | 0.1758                     | This study (USNM504184)                       |
|                  | Podargidae      | <i>Podargus strigoides</i>    | 3 | 387.0         | 5,311                           | 3,826.81                                | 1,226.89                        | 0.2310             | 0.3206                     | This study                                    |
|                  | Steatornithidae | <i>Steatornis caripensis</i>  | 1 | 414.0         | 3,900                           | 2,887.70                                | 749.53                          | 0.1922             | 0.2596                     | This study (USNM431365)                       |
| Strigiformes     | Strigidae       | <i>Aegolius acadicus</i>      | 1 | 86.0          | 2,857                           | 2,009.90                                | 743.75                          | 0.2603             | 0.3700                     | This study (donated by B.J. Frost)            |
|                  |                 | <i>Athene cunicularia</i>     | 1 | 167.0         | 5,878                           | 4,813.80                                | 1,707.67                        | 0.2905             | 0.3547                     | Alma and Bee de Speroni (1995)                |
|                  |                 | <i>Ninox boobook</i>          | 2 | 231.4         | 5,626                           | 3,920.44                                | 1,503.68                        | 0.2673             | 0.3835                     | This study                                    |
|                  | Tytonidae       | <i>Tyto alba</i>              | 2 | 450.0         | 6,149                           | 4,108.53                                | 1,605.41                        | 0.2611             | 0.3908                     | Alma and Bee de Speroni (1995) and This study |

Note that for all species, with the exception of the Boobook Owl ( $n = 2$ ) and Tawny Frogmouth ( $n = 3$ ), only one specimen was measured  
USNM National Museum of Natural History, Washington, DC, BBM Bernice Bishop Museum, Honolulu, HI

For measurements of the telencephalon and Wulst, data was collected from seven caprimulgi-forms and three owls (Table 2). In addition, data for numerous other species was gleaned from the literature (Table 3). For the museum specimens, shrinkage was estimated by comparing the brain volumes of the specimens measured with known brain volumes of the species (see Table 1 and Iwaniuk and Nelson 2003). This enabled volumes to be reconstructed that could be compared with body masses. The only species that we could not calculate a shrinkage factor for was the Feline Owllet-nightjar. For this specimen, we related the size of the telencephalon and Wulst only to the brain volume of the specimen that we examined.

Digital photographs were taken throughout the brain for every second or fourth section, depending upon the size of the brain such that 60–80 sections were measured for each brain. It should be noted that varying the sampling interval in this fashion does not significantly affect volumetric measurements (Iwaniuk et al. 2006). The volumes of the telencephalon and Wulst were measured with the public domain NIH Image program (<http://www.rsb.info.nih.gov/nih-image/>). All hyperpallial structures were included in

the Wulst measurements: the apical hyperpallium (HA), interstitial part of the hyperpallium (HI), intercalated part of the apical hyperpallium (IHA), and densocellular part of the hyperpallium (HD) (Shimizu and Karten 1993; Reiner et al. 2005). It was not possible to calculate the volumetric fractions of each of these Wulst subdivisions because they could not be reliably delineated throughout the extent of the Wulst for all specimens. Borders were delineated by: the vallecule laterally, the superior frontal lamina ventrally and the ventricle medially. We defined the caudal pole as the point at which the vallecule could no longer be recognized and the hippocampal formation was present. The border between the HA and the hippocampal formation was identified by a marked increase in cell density within the hippocampal formation, as shown in previous cytoarchitectonic studies (e.g., Sherry et al. 1989). Due to difficulties in identifying the transition between the parahippocampal area and HA, however, it is possible that some of the hippocampal formation was included as part of the Wulst and vice versa. Although this is potentially a source of error, the borders we drew were replicable and the differences between groups so large (see below), that it is unlikely that this error would significantly affect our conclusions.

**Table 3** A list of the other species used to calculate the least-squares regression lines and 95% confidence intervals for the scatterplots shown in Fig. 3

| Order             | Species   |
|-------------------|---|
| Anseriformes      | <i>Anas platyrhynchos</i> , <i>Anser anser</i> , <i>Dendrocygna eytoni</i>  |
| Apodiformes       | <i>Chaetura pelagica</i>  |
| Charadriiformes   | <i>Calidris minutilla</i> , <i>Charadrius vociferus</i> , <i>Limnodromus griseus</i> , <i>Sterna hirundo</i> , <i>Vanellus miles</i>  |
| Ciconiiformes     | <i>Ardea cinerea</i> , <i>Egretta thula</i> , <i>Nycticorax caledonicus</i>   |
| Columbiformes     | <i>Columba leucomela</i> , <i>Columba livia</i> , <i>Phaps elegans</i> , <i>Streptopelia risoria</i>  |
| Coraciiformes     | <i>Dacelo novaeguineae</i> , <i>Todiramphus sanctus</i>   |
| Falconiformes     | <i>Accipiter fasciatus</i> , <i>Falco cenchroides</i> , <i>Falco longipennis</i>  |
| Galliformes       | <i>Alectoris chukar</i> , <i>Chrysolophus pictus</i> , <i>Colinus virginianus</i> , <i>Gallus domesticus</i> , <i>Meleagris gallopavo</i> , <i>Numida meleagris</i> , <i>Ortalis canicollis</i> , <i>Pavo meleagris</i> , <i>Perdix perdix</i> , <i>Phasianus colchicus</i>   |
| Gruiformes        | <i>Fulica armillata</i>   |
| Passeriformes     | <i>Corvus corone</i> , <i>Entomyzon cyanotis</i> , <i>Garrulus glandarius</i> , <i>Passer domesticus</i> , <i>Strepera versicolor</i> , <i>Taeniopygia guttata</i>  |
| Pelecaniformes    | <i>Phalacrocorax auritus</i>  |
| Podicipediformes  | <i>Rollandia rolland</i>  |
| Procellariiformes | <i>Puffinus tenuirostris</i>  |
| Psittaciformes    | <i>Agapornis personata</i> , <i>Agapornis roseicollis</i> , <i>Alisterus scapularis</i> , <i>Amazona aestiva</i> , <i>Aratinga acuticaudata</i> , <i>Cacatua roseicapilla</i> , <i>Calyptorhynchus funereus</i> , <i>Eclectus roratus</i> , <i>Glossopsitta concinna</i> , <i>Melopsittacus undulatus</i> , <i>Myiopsitta monachus</i> , <i>Neopsephotus bourkii</i> , <i>Nymphicus hollandicus</i> , <i>Pionus menstruus</i> , <i>Platycercus elegans</i> , <i>Platycercus eximius</i> , <i>Polytelis swainsonii</i> , <i>Psephotus haematonotus</i> , <i>Psittacula eupatria</i> , <i>Psittacula krameri</i> , <i>Psittacus erithacus</i> , <i>Pyrrhura molinae</i> , <i>Trichoglossus haematodus</i> |
| Sphenisciformes   | <i>Spheniscus magellanicus</i>  |
| Struthioniformes  | <i>Rhea americana</i>   |
| Tinamiformes      | <i>Rhynchotus rufescens</i>   |
| Trochiliformes    | <i>Chlorostilbon mellisugus</i>   |

Data for these species was derived from Ebinger (1995), Ebinger and Röhrs (1995), Boire (1989), Carezzano and Bee de Speroni (1995), Ebinger and Löhmer (1984, 1987), Rehkamper et al. (1991), Fernandez et al. (1997) and Iwaniuk and Hurd (2005)

Furthermore, these borders are the same as those used in previous volumetric studies (Ebinger and Löhmer 1984, 1987; Boire 1989; Rehkämper et al. 1991; Ebinger 1995; Ebinger and Röhrs 1995) from which we gleaned Wulst volumes for several additional species (Table 3).

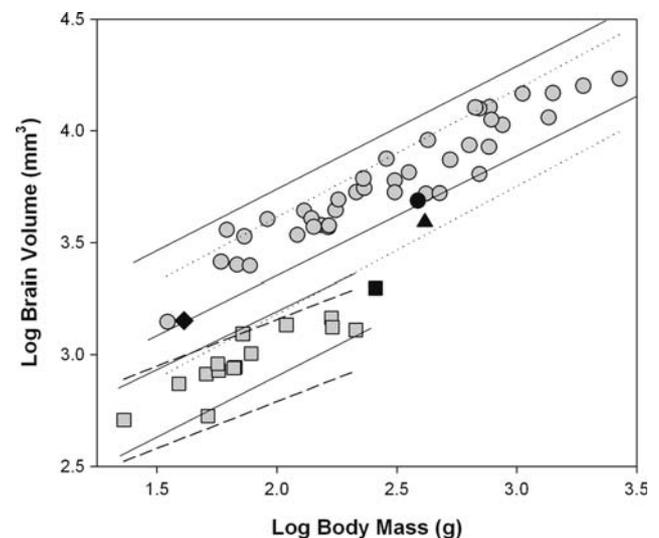
#### Statistical analysis

We performed multiple comparisons of both telencephalic and Wulst volumes (Deacon 1990; Deaner et al. 2000; Iwaniuk et al. 2005). Telencephalic volumes were scaled relative to body mass and the volume of the brain minus that of the telencephalon. Wulst volumes were scaled relative to body mass, volume of the brain minus the Wulst and volume of the telencephalon minus the Wulst. Using species as independent data points, we calculated least-squares regression lines and 95% confidence intervals. To account for possible phylogenetic effects, we also calculated regression lines and 95% confidence intervals using the independent contrasts approach as shown in Garland and Ives (2000). Phylogenetic relationships among the additional species used to calculate the 95% confidence intervals (Table 3) were taken primarily from Sibley and Ahlquist (1990), with additional resolution provided by Christidis et al. (1991), Sheldon et al. (2000), Dimcheff et al. (2002) and Wink et al. (2004). Given the uncertain relationships among the caprimulgiform families (see Sibley and Ahlquist 1990; Mayr 2002; Cracraft et al. 2004), we did test alternative topologies of the phylogenetic tree, but the results were qualitatively identical to those presented here. That is, there were no changes in the significance of the results among the different branching patterns. The tree was entered into the PDTREE module of the Phenotypic Diversity Analysis Programs (PDAP) software package (available from T. Garland). Because we relied upon multiple sources for the phylogenetic tree, we tested several arbitrary branch length models. Only equal branch lengths adequately standardized the data (Garland et al. 1992) and were therefore used in all phylogenetically based statistics. Independent contrasts were then calculated and the 95% confidence interval around a least-squares linear regression line (as calculated in PDTREE) was plotted onto the original plot of species as independent data points (Garland and Ives 2000). This provides a means of determining whether individual species fall outside of the 95% confidence interval of the data without the difficulties that can be associated with interpreting independent contrasts plots.

## Results

### Relative brain volume

Figure 1 is a plot of brain volume against body mass for caprimulgiforms and owls. The owls and nightjars cluster into two completely distinct groups: the owls possess relatively large brains, whereas the nightjars possess relatively small brains. In fact, there is a significant grade shift present between the nightjar and owl clusters as shown by a significant difference in intercepts ( $F = 5.33$ ,  $df = 1, 57$ ,  $P = 0.02$ ), but not slopes ( $F = 0.32$ ,  $df = 1, 57$ ,  $P = 0.58$ ) between the two groups. Most of the species from the other caprimulgiform families fall into one of these groups. For example, the potoo is clearly within the nightjar cluster whereas the frogmouth and owlet-nightjar are within the owl cluster. The relative brain volume of the Oilbird is more similar to the owls than the nightjars, but falls outside of the 95% confidence interval calculated for both groups. These differences among the caprimulgiforms remained once phylogeny was accounted for, except for the Oilbird, which was within the phylogeny-corrected 95% confidence interval calculated for the owls. Thus, there is a significant grade shift in relative brain size between



**Fig. 1** A scatterplot of log-transformed brain volumes ( $\text{mm}^3$ ) against log-transformed body masses (g) for all caprimulgiform and owl species measured (see Table 1). The symbols refer to the following: *black diamond* Aegothelidae (Australian Owllet-nightjar, *Aegothales cristatus*); *grey squares* Caprimulgidae (nightjars); *black square* Nyctibiidae (Grey Potoo, *Nyctibius griseus*); *black circle* Podargidae (Tawny Frogmouth, *Podargus strigoides*); *black triangle* Steatornithidae (Oilbird, *Steatornis caripensis*); and *grey circles* Strigiformes (owls). The *solid lines* indicate the 95% confidence intervals for the nightjars and owls. The two other sets of lines refer to the phylogeny-corrected 95% confidence intervals for the nightjars (*dashed lines*) and owls (*dotted lines*)

owls and nightjars and the remaining caprimulgiforms are found within the owls (owlet-nightjar, frogmouth and possibly the Oilbird) or the nightjars (potoo).

### Macromorphology

Figure 2 shows photos taken from dorsal, lateral and ventral aspects of owl and caprimulgiform brains. Although only one nightjar brain (*Eurostopodus argus*) is shown, the nightjars and the Grey Potoo are all characterized by a prominent cerebellum and optic lobes in both dorsal and lateral aspects, pronounced olfactory bulbs and a narrow, long and medially oriented Wulst (Fig. 2). This is similar to the photographs of the European Nightjar (*Caprimulgus europaeus*) in Stingelin (1958). The remaining caprimulgiforms, however, vary considerably in overall brain shape. The Oilbird has large olfactory bulbs and large cerebral hemispheres with a prominent and wide Wulst clearly visible on the dorsal surface (Fig. 2). Both the frogmouth and owlet-nightjar possess cerebral hemispheres that are rounded at their rostral pole (Fig. 2). In fact, the curvature of the anterior hemispheres is so rounded that the olfactory bulbs can only be viewed on the ventral surface. The valleculla is prominent on the dorsal surface of the hemispheres and outlines a relatively large Wulst and a greater proportion of the cerebellum is obscured by the cerebral hemispheres than in the nightjar. These morphological features are shared with the Barn Owl (Fig. 2, Stingelin 1958). The Boobook Owl, on the other hand, also possesses a brain that is dominated by the cerebral hemispheres and has a prominent valleculla (Fig. 2). The anterior hemispheres are not, however, as rounded as the Tawny Frogmouth, Feline Owlet-nightjar or Barn Owl. Instead, they come to an acute angle and the olfactory bulbs are located at the rostral tip of the hemispheres, in a similar fashion to the Northern Saw-whet Owl that we examined and photographs of other strigid owl brains in Stingelin (1958). The Wulst also appears to extend more laterally, relative to the length of the brain than in the Barn Owl and Tawny Frogmouth. All of these features underlie significant differences in telencephalon and Wulst volume that are outlined below.

### Relative telencephalon volume

Figure 3 shows scatterplots of telencephalic volume against body mass (Fig. 3a) and overall brain volume (Fig. 3b). In both instances, the owls, Oilbird, owlet-nightjar and frogmouth all had relatively large

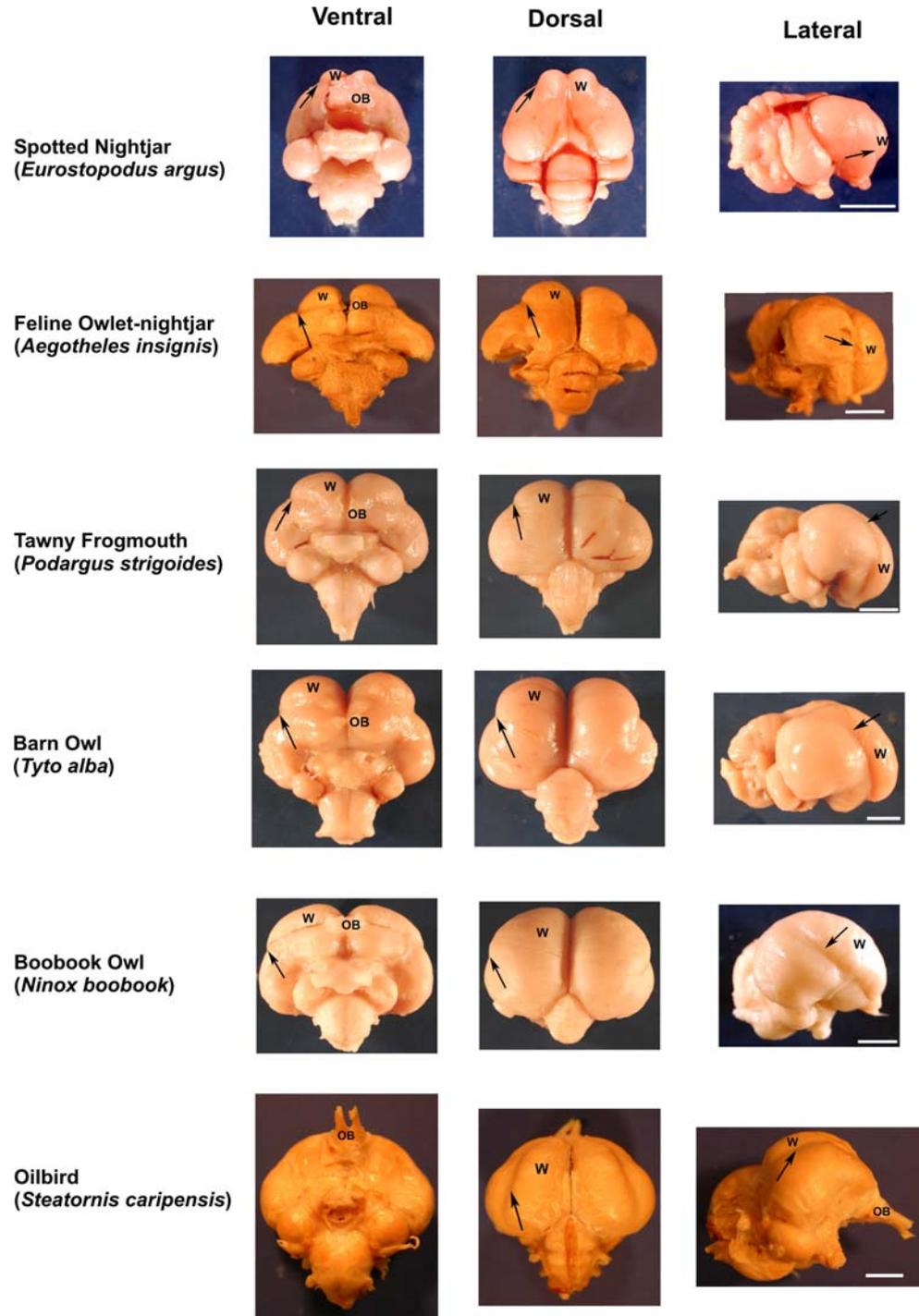
telencephala compared to the nightjars and potoo. The 95% confidence intervals (both conventional and phylogeny-corrected) were all relatively broad, however, such that none of the owls or caprimulgiforms were actually outside of them. Thus, any expansion of the Wulst that may be present does not manifest itself as a significant increase in relative telencephalic volume.

### Wulst size and morphology

As a proportion of the entire volume of the brain and telencephalon, the owls possess much larger Wulst volumes than any other species (Table 2). This is also reflected in scatter plots of Wulst volume against body mass, brain volume minus Wulst volume and telencephalic volume minus Wulst volume (Fig. 4). Regardless of what scaling measure is used or whether phylogenetic information is included in the calculation of the interval or not, the owls are all above the 95% confidence interval. Two of the caprimulgiforms, the frogmouth and the owlet-nightjar, also possess large Wulsts that are above the upper limits of the 95% confidence intervals (Fig. 4b, c), but they are slightly smaller than that of the owls. The nightjars and potoo, on the other hand, possess relatively smaller Wulst volumes and are more similar to the other birds included in our analysis (see Table 3). Interestingly, the Oilbird has an enlarged Wulst relative to both brain volume (Fig. 4b) and telencephalic volume (Fig. 4c). In relation to the other species, the Oilbird is generally situated between the owls, frogmouth and owlet-nightjar on one hand and the nightjar and potoo on the other hand.

The morphology of the Wulst also varies between the nightjars and owls. The lamination of the Wulst layers in the owls is distinct and each layer can be readily identified cytoarchitectonically, as shown in the Boobook Owl (Fig. 5a). The HA is quite thick, the IHA appears as a dark band with both the dorsal and ventral subregions clearly visible. The HI is discernible in between IHA and HD and the HD can be readily distinguished from the underlying mesopallium (M) by a thick superior frontal lamina. This is almost identical to the Wulst architecture described by Karten et al. (1973) and Stingelin (1958) for other strigid owls. The morphology of the nightjar Wulst, however, is quite different (Fig. 5b). Unlike the owls, HI and IHA are difficult to define cytoarchitectonically and the lamina separating HD from M is not as noticeable. Furthermore, we had difficulty in finding any discernible division of the internal and external parts of the IHA. In the case of the Oilbird, which was a museum specimen stored in ethanol for an

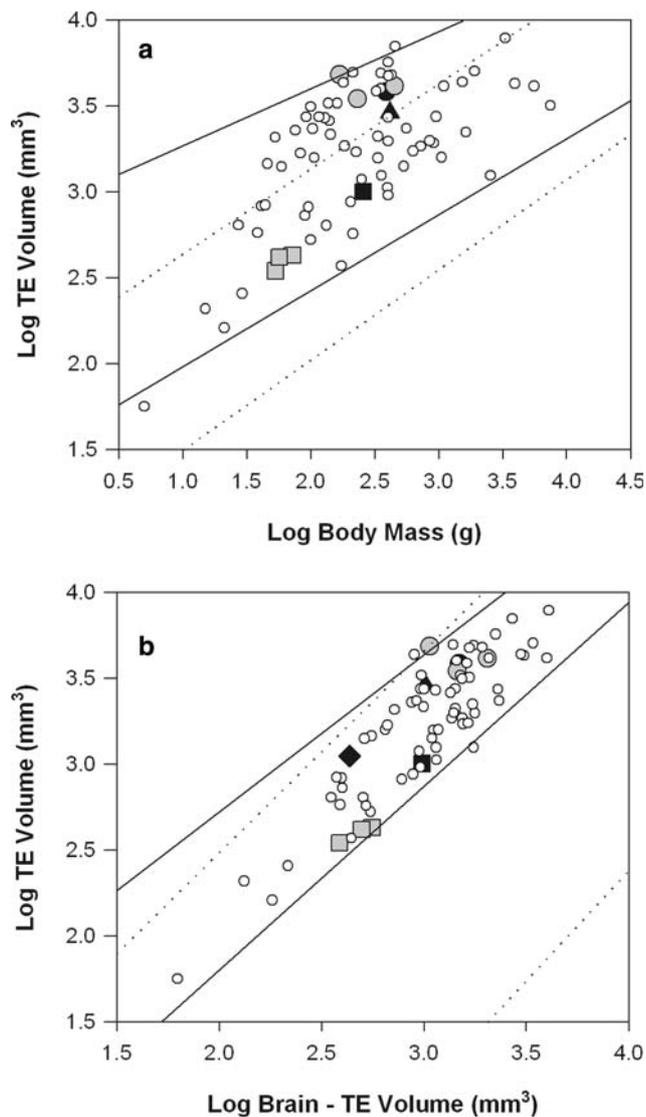
**Fig. 2** Photographs taken from ventral, dorsal and lateral views are shown for (from top to bottom): Spotted Nightjar (*Eurostopodus argus*); Feline Owlet-nightjar (*Aegotheles insignis*) (BBM-NG101365); Tawny Frogmouth (*Podargus strigoides*); Barn Owl (*Tyto alba*); Boobook Owl (*Ninox boobook*); and Oilbird (*Steatornis caripensis*) (USNM431365). Shown on each view are vallicula (arrow); olfactory bulbs (OB); and Wulst (W) (scale bar = 5 mm). Note that the discoloration of the owl-nightjar and oilbird are the result of long-term storage in 70% ethanol



extended period of time (45 years), the quality of staining throughout the telencephalon was relatively poor. As such, although we were able to obtain the volume of the Wulst, we were unable to accurately define the borders of structures within the Wulst of the Oilbird.

As with the volumetric comparisons already discussed, the other caprimulgiforms vary as to whether they are owl-like or nightjar-like. The frogmouth

Wulst is strikingly similar to the owl (Fig. 5c). Again, each of the layers can be readily distinguished from one another and the morphology of each layer is consistent with that of the owl. That is, the IHA is darkly stained relative to HA and the dorsal and ventral parts can be distinguished from one another, HI is distinct and HD is separated from M by a discrete superior frontal lamina. The same is also true of the owl-nightjar (Fig. 5d). The owl-nightjar Wulst also



**Fig. 3** A scatterplot of log-transformed telencephalic volumes ( $\text{mm}^3$ ) against: **a** log-transformed body masses (g); and **b** log transformed brain volume minus Wulst volume ( $\text{mm}^3$ ; see Table 2). The symbols refer to the following: *black diamond* Aegothelidae (Feline Owlet-nightjar, *Aegotheles insignis*); *grey squares* Caprimulgidae (nightjars); *black square* Nyctibiidae (Grey Potoo, *Nyctibius griseus*); *black circle* Podargidae (Tawny Frogmouth, *Podargus strigoides*); *black triangle* Steatornithidae (Oilbird, *Steatornis caripensis*); *grey circles* Strigiformes (owls); and *open circles* all other birds. Two sets of 95% confidence intervals are shown based upon least-squares linear regression lines calculated for all other species of birds (see Table 3): conventional statistics (*solid lines*) and statistics that include phylogenetic information (*dotted lines*). Note that the Feline Owlet-nightjar is not included in the comparison of Wulst volume and body mass because body mass was not available for the specimen examined nor could an average body mass for this species be found in the literature

possesses a darkly stained IHA with both dorsal and ventral divisions visible, HI is reasonably distinct and the superior frontal lamina is clearly visible. The shape

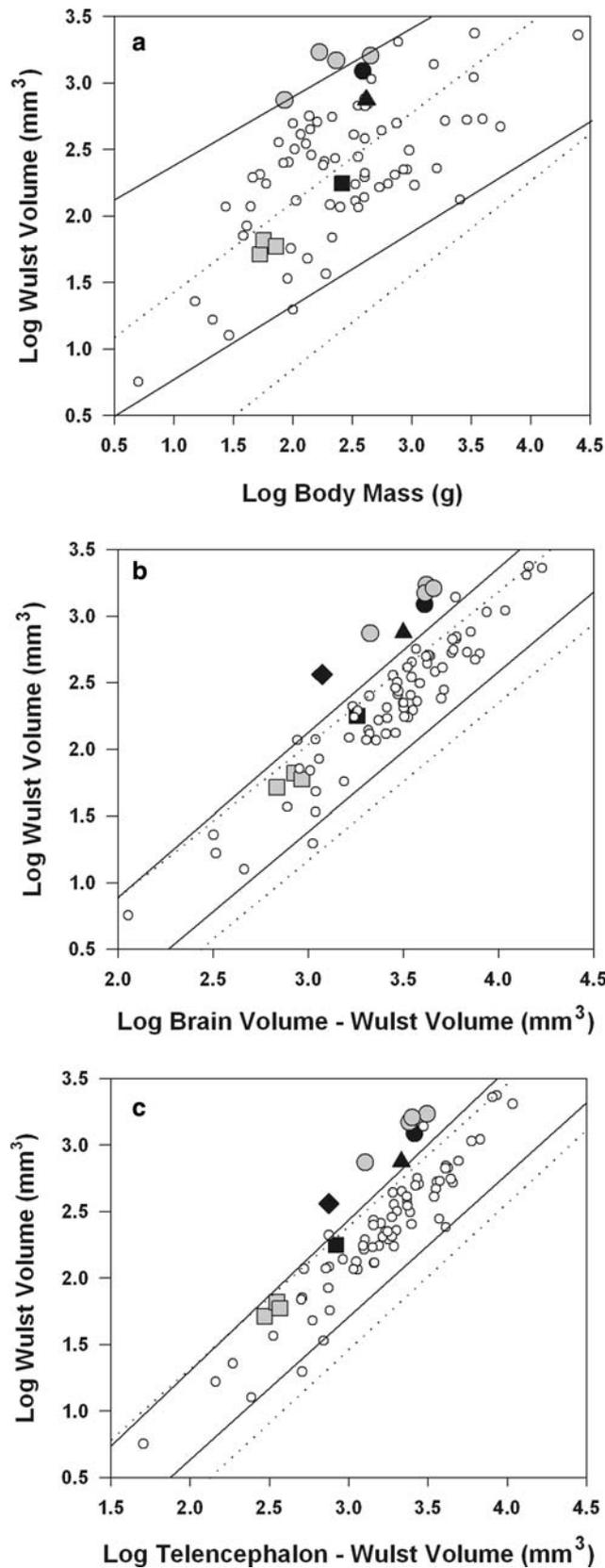
of the owlet-nightjar Wulst does, however, differ from the frogmouth and owls in that it extends far less laterally. This is also evident in the pictures of overall brain shape for the owlet-nightjar (Fig. 2). Lastly, although not shown, the potoo is almost identical to the nightjars. The layers of the Wulst are visible, but are difficult to discern from one another in Nissl stained sections.

## Discussion

Nightjars and owls clearly possess markedly different brains. They differ not only in relative size and macromorphology, but also in the relative size of the telencephalon and Wulst and in Wulst morphology. Two of the other caprimulgiform families surveyed, the frogmouths and owlet-nightjars, shared similar relative brain size, macromorphology, telencephalon and Wulst size and Wulst morphology with the owls. The potoo, however, was almost identical to the nightjars in all these features. Lastly, the Oilbird was intermediate between the owls and nightjars, although it did have a moderately expanded telencephalon and Wulst.

## Caveats

Although the comparisons presented herein relied upon relatively few species within each family, the differences between owls and nightjars is so large that the effects of sampling only a single species within each family are limited because there is little behavioral and/or ecological variability in three of the families surveyed. For example, the behavior and life history of owlet-nightjars, nightjars and potoos are highly conserved within their respective families (Cleere 1998; del Hoyo et al. 1999). The Podargidae is the only exception. Within this family, the genus *Batrachostomus* feeds almost exclusively on arthropods that are caught by pouncing from a perch or gleaning from branches and other surfaces (Cleere 1998; del Hoyo et al. 1999). However, *Podargus* species, such as the Tawny Frogmouth, feed on small vertebrates in addition to arthropods, all of which are caught by swooping down onto prey from a perch in a similar fashion to owls (Cleere 1998; del Hoyo et al. 1999; Higgins 1999). In addition to these behavioral differences between frogmouth genera, there are marked molecular and morphological differences that have led some authors to suggest that they should be placed in separate families (Sibley and Ahlquist 1990; Mariaux and Braun 1996). Because *Batrachostomus* specimens are extremely rare in



**Fig. 4** A scatterplot of log-transformed Wulst volumes ( $\text{mm}^3$ ) against **a** log-transformed body masses (g); **b** log-transformed brain volume minus Wulst volume ( $\text{mm}^3$ ); and **c** log-transformed telencephalic volume minus Wulst volume ( $\text{mm}^3$ ) for all caprimulgiform and owl species measured (Table 2). The symbols refer to the following: *black diamond* Aegothelidae (Feline Owlet-nightjar, *Aegotheles insignis*); *grey squares* Caprimulgidae (nightjars); *black square* Nyctibiidae (Grey Potoo, *Nyctibius griseus*); *black circle* Podargidae (Tawny Frogmouth, *Podargus strigoides*); *black triangle* Steatornithidae (Oilbird, *Steatornis caripensis*); *grey circles* Strigiformes (owls); and *open circles* all other birds. Two sets of 95% confidence intervals are shown based upon least-squares linear regression lines calculated for all other species of birds (see Table 3): conventional statistics (*solid lines*) and statistics that include phylogenetic information (*dotted lines*). As with Fig. 3, the Feline Owlet-nightjar is not included in the comparison of Wulst volume and body mass because body mass was not available for the specimen examined nor could an average body mass for this species be found in the literature

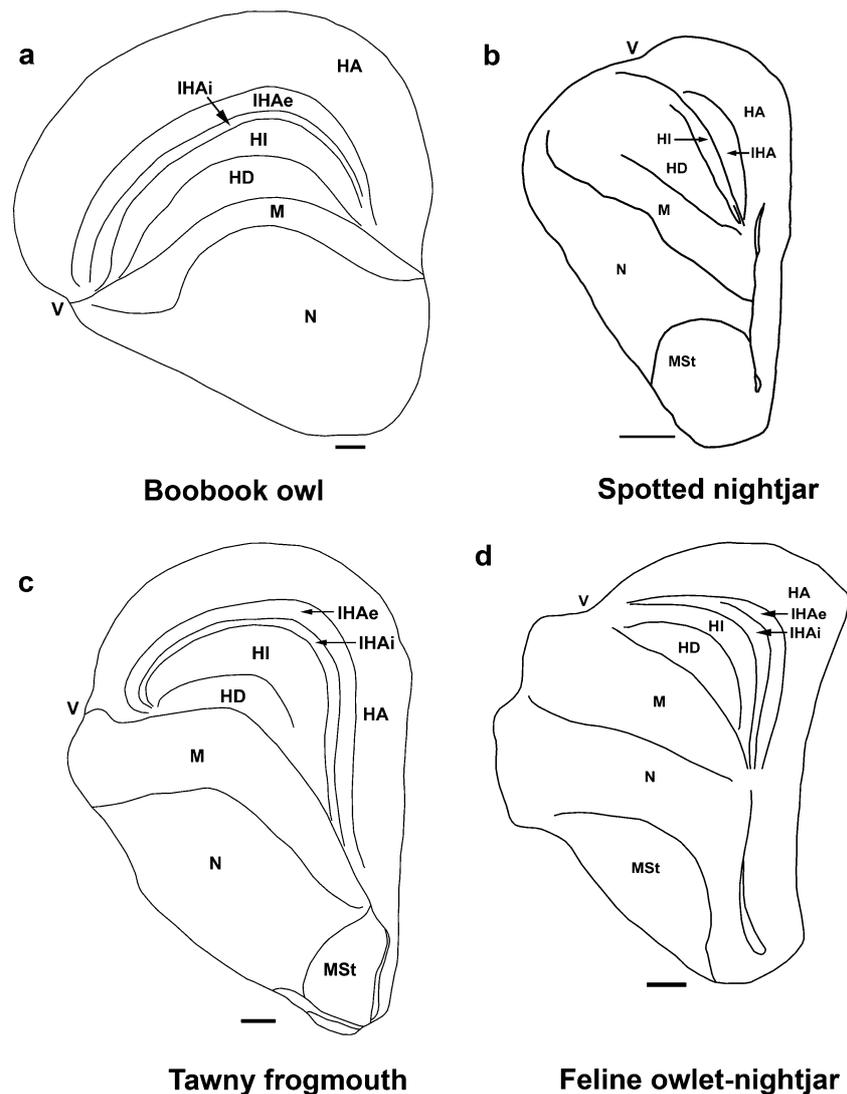
Another potential source of error is the sampling of only a single individual of each species. It should be noted, however, that variation within species is generally far lower than that between species (Stephan and Pirlot 1970; Pirlot and Bee de Speroni 1987; A. N. Iwaniuk, unpublished data). Furthermore, the volumetric differences reported herein between the owl-like and nightjar-like birds are substantial and it is unlikely that even with the inclusion of additional specimens that our conclusions would be significantly altered.

#### Stereopsis and Wulst size

The combination of an enlarged Wulst and global stereopsis in owls suggests that Wulst size and stereopsis are correlated. This is supported by the presence of a similarly enlarged Wulst in owlet-nightjars and frogmouths, which are thought to have stereoscopic vision (Pettigrew 1986). Wulst expansion can, however, occur independently of stereopsis and vice versa. Diurnal raptors, for example, have stereoscopic vision (Fox et al. 1977) and large numbers of binocular neurons in the visual Wulst (Pettigrew 1978), but do not have an enlarged Wulst (Iwaniuk and Hurd 2005, this study). Perhaps part of the reason for this seemingly inconsistent relationship between stereopsis and Wulst size is that the Wulst is not an exclusively visual structure (Funke 1989; Wild 1997; Medina and Reiner 2000; Manger et al. 2002). Indeed, the rostral Wulst receives somatosensory projections (Wild 1997; Manger et al. 2002), has cells that are responsive to tactile stimulation of the body, limbs, head and neck (Funke 1989) and in species that forage using tactile information from the beak, the rostral Wulst is greatly expanded (Pettigrew and Frost 1985). Given that a large Wulst is not essential to stereopsis per se, why is the owl's Wulst enlarged?

museum collections, we were unable to examine one, so this study only reflects the condition present in *Podargus* frogmouths.

**Fig. 5** Shown here are schematic drawings of the Wulst architecture of: **a** Boobook Owl (*Ninox boobook*); **b** Spotted Nightjar (*Eurostopodus argus*); **c** Tawny Frogmouth (*Podargus strigoides*); and **d** Feline Owllet-nightjar (*Aegotheles insignis*) (scale bar = 1 mm). Each layer of the Wulst is indicated as follows: apical hyperpallium (HA), internal (IHAi) and external (IHAe) layers of the intercalated part of the apical hyperpallium, interstitial part of the hyperpallium (HI); densocellular part of the hyperpallium (HD) (following nomenclature in Reiner et al. 2004). Additional structures indicated on the drawings include: vallecule (V); medial striatum (MSt); mesopallium (M) and nidopallium (N)



One feature of the visual system that does set owls apart from other birds is the amount of binocular overlap in their visual field. As mentioned previously owls have a much larger area of horizontal field binocular overlap (44–50°; Martin 1984; Pettigrew and Konishi 1984; Wylie et al. 1994) than other birds (horizontal mean = 20°; data from Martin and Katzir 1999). The visual field of owls is so unique that in their categorization of avian visual fields, Martin and Coetzee (2004) placed owls in a category all their own because of the broad frontal binocular field and extensive blind areas above and behind the head. Other birds have binocular visual fields (Martin and Katzir 1999; Martin and Coetzee 2004) and are capable of stereopsis within this field (e.g., McFadden and Wild 1986), but this occupies only a small part of the entire visual field. For example, raptors have some degree of binocular overlap in their visual field, but the area of binocular

overlap is much smaller than in owls (20–24° horizontally and 80° vertically) and as such, it is localized to only a portion of the entire visual field (Wallman and Pettigrew 1985; Martin and Katzir 1999). Despite the width of this field and the size of the Wulst, binocular neurons appear to far outnumber monocular neurons in the American Kestrel’s (*Falco sparverius*) visual Wulst (Pettigrew 1978) and they too are capable of stereopsis (Fox et al. 1977). Perhaps the Wulst enlargement of owls reflects their wider stereoscopic field compared to diurnal raptors and other birds. Frogmouths do have a large binocular field similar to that of owls and convergent eye movements (Wallman and Pettigrew 1985), which suggests the presence of global stereopsis (Pettigrew 1986). Based upon Wulst size and morphology, it follows that owllet-nightjars might possess stereopsis as well, but this has yet to be demonstrated behaviorally or physiologically.

Curiously, the Oilbird has a moderately large degree of binocular overlap (38–50°; Pettigrew and Konishi 1984; Martin et al. 2004a, b) and a moderately enlarged Wulst (Table 2; Fig. 4), but an electrophysiological study failed to find binocular neurons in the Oilbird Wulst (Pettigrew and Konishi 1984). Given that the development of the owl visual Wulst is sensitive to visual input (Pettigrew and Konishi 1976), it is possible that binocular vision has been lost in the Oilbird as a consequence of roosting deep within caves. The moderately enlarged Wulst could therefore be a “carry-over” from a stereoscopic ancestor, like a frogmouth, that has since been co-opted for another purpose, such as somatosensory input to the rostral Wulst from the rictal bristles (see above).

### Wulst lamination

In addition to variation in Wulst size, there was also significant variation in the degree of lamination observed in the Wulst. Although, the gradation in Wulst lamination could be an artifact of an enlarged Wulst, it is equally probable that it reflects functional differences related to stereoscopic vision. The construction of neuronal sheets (or lamina) appears to permit the construction of complex topographical maps that are functionally segregated in a variety of neural structures (Striedter 2005). In mammals, the lamination pattern of the neocortex varies significantly among mammalian taxa, such that some species possess more lamina than others (Preuss 2001) and this variation is at least partially related to species differences in connectivity (LaChica et al. 1993). The relatively poorly laminated Wulst in some birds (e.g., nightjar, potoo) compared to the relatively well-laminated Wulst of other birds (e.g., owls, frogmouth, owlet-nightjar) might also reflect functional differences. The darker staining of IHA and the prominence of the internal and external layers in the frogmouth, owlet-nightjar and owls compared to the nightjars and potoo probably reflects a higher density and/or number of cells and therefore a heavier input into the HA. While the IHA projects to telencephalic regions outside of the Wulst (e.g. frontolateral nidopallium), the bulk of the IHA afferents project dorsally to the HA (Shimizu et al. 1995). Because most of the binocular, disparity sensitive neurons are found within the HA (Pettigrew 1979; Nieder and Wagner 2000, 2001) an increase in the amount of input to the HA, such as that provided by a larger or more densely packed IHA, could reflect an increase in the number of binocular neurons. This, however, remains to be verified.

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